

TC 1700

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/915,658

Confirmation No. : 9163

Applicants: Gundu M. Sabde and Whonchee Lee

: July 25, 2001

Attorney Docket No.: 500163.04

Art Unit : 1765 Customer No.

: 27,076

Examiner: Kin Chan Chen

Title

Filed

: METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC

SUBSTRATE ASSEMBLIES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

01/22/2004 DTESSEM1 00000150 09915658

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A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Steven H. Arterberry

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SHA:tlm

Enclosures:

Check

Postcard

Fee Transmittal (+ copy)

Copy of Supplemental Information Disclosure Statement

Form PTO-1449

Cited References (4)

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FORM PTO-1449 (REV.7-80)

INFORMATION DISCL

S. DEPARTMENT OF COMMERCE TATENT AND TRADEMARK OFFICE

SURE STATEMENT

ATTY. DOCKET NO. 500163.04 APPLICANT(S) Gundu M. Sabde and Whonchee Lee GROUP ART UNIT 1765 GOOD GROUP ART UNIT 1765 U.S. PATENT DOCUMENTS SUBCLASS FILING DATE CLASS NAME DATE DOCUMENT NUMBER *EXAMINER IF APPROPRIATE INITIAL 389.23 252 09/10/96 Yianakopoulos 5,554,320 AA 507 131 Jahnke et al. 04/15/97 5,620,946 ΑB AC AD AF AG ΑH ΑI ΑJ FOREIGN PATENT DOCUMENTS TRANSLATION SUBCLASS CLASS COUNTRY DOCUMENT NUMBER YES NO H01L 21/3105 **PCT** 03/27/97 WO 97/11484 ΑK **B24B** 21/00 X Japan (English Abstract) 02/10/98 JP 10034514 ΑL AM ΑN ΑO OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) ΑP ΑQ AR DATE CONSIDERED **EXAMINER**

* EXAMINER:

Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in